

Transaction Slip for Exchange Traded Funds of ICICI Prudential Mutual Fund (For existing unitholders only)

This form should be completed in ENGLISH and in BLOCK LETTERS only. All fields are Mandatory.



EXCHANGE TRADED FUNDS

BROKER CODE(ARNCODE)	SUB-BROKER ARN CODE	SUB-BROKER CODE (As allotted by ARN holder)	Employee Unique Identification No. (EUIIN)
Declaration for "execution-only" transaction (only where EUIIN box is left blank). I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.			
Sole/First Applicant		Second Applicant	Third Applicant

Date:

D D / M M / Y Y Y Y

FOLIO NO.:	TAX STATUS:	MODE OF HOLDING:
Sole/1st Applicant (Mandatory)	Name of the Unitholders(s)	PAN*
LEI Number	Legal Entity Identifier Number is for Transaction value of INR 50 crore and above - See Instruction No. 1.)	
2nd Applicant	Name of the Unitholders(s)	PAN*
3rd Applicant		KYC Status*

In case of direct application, please write as 'DIRECT' against broker code. * PAN & KYC are mandatory for all applicants including NRIs.

INVESTOR DETAILS

NATIONAL SECURITIES DEPOSITORY LTD. (NSDL) Depository Participant Name: _____ DPID No. <table><tr><td>I</td><td>N</td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> Beneficiary A/c. No. <table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>	I	N															CENTRAL DEPOSITORY SERVICE (INDIA) LTD. (CDSL) Depository Participant Name: _____ Beneficiary A/c. No. <table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
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Enclosures: 1. Client Master List (CML) 2. Statement of Transaction (SOT) in case of redemption request.

BANK ACCOUNT (PAY-OUT) DETAILS OF SOLE/FIRST APPLICANT (Applicable For New ETF Investors Only)																																																							
Mandatory information – If left blank the application is liable to be rejected. (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.) For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.																																																							
MANDATORY Account Number <table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> Bank Name & Branch _____ 9 Digit MICR Code <table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> 11 Digit IFSC <table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>																																																							Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR Branch City _____ Enclosed (Please ✓): ☐ Bank Account Details Proof Provided.

TAX STATUS [Please tick (✓)] (Applicable For New ETF Investors Only) ☐ Individual ☐ NRI ☐ Company ☐ Trust ☐ Others (Please specify) _____
(Applicable For New ETF Investors Only) Correspondence Address _____ (Please provide full address) Overseas Address _____ (Mandatory for NRI / FII Applicants) Mobile: _____ Email: _____

TRANSACTION DETAILS	SUBSCRIPTION ☐ Cash ☐ Basket	REDEMPTION ☐ Cash ☐ Basket
Select Scheme (Please tick(✓)) ☐ ICICI Prudential S&P BSE Sensex ETF ☐ ICICI Prudential Nifty 50 ETF ☐ ICICI Prudential Nifty 100 ETF ☐ ICICI Prudential Nifty50 Value 20 ETF ☐ BHARAT 22 ETF ☐ ICICI Prudential S&P BSE 500 ETF ☐ ICICI Prudential India Consumption ETF ☐ ICICI Prudential S&P BSE Liquid Rate ETF ☐ ICICI Prudential Nifty Bank ETF ☐ ICICI Prudential Nifty Private Banks ETF ☐ ICICI Prudential Nifty Midcap 150 ETF ☐ ICICI Prudential Gold ETF ☐ ICICI Prudential Nifty IT ETF ☐ ICICI Prudential Nifty Healthcare ETF ☐ ICICI Prudential Nifty FMCG ETF ☐ ICICI Prudential NIFTY NEXT 50 ETF ☐ ICICI Prudential NIFTY AUTO ETF ☐ ICICI Prudential Silver ETF ☐ ICICI Prudential Nifty 100 Low Volatility 30 ETF ☐ ICICI Prudential S&P BSE Midcap Select ETF ☐ ICICI Prudential Nifty Alpha Low - Volatility 30 ETF ☐ ICICI Prudential Nifty 5 yr Benchmark G-SEC ETF ☐ ICICI Prudential Nifty200 Momentum 30 ETF ☐ ICICI Prudential Nifty Infrastructure ETF ☐ ICICI Prudential Nifty Financial Services Ex-Bank ETF ☐ ICICI Prudential Nifty 10 yr Benchmark G-SEC ETF ☐ ICICI Prudential Nifty Commodities ETF ☐ ICICI Prudential Nifty PSU Bank ETF ☐ ICICI Prudential Nifty200 Quality 30 ETF ☐ ICICI Prudential Nifty Oil & Gas ETF ☐ ICICI Prudential Nifty Metal ETF ☐ ICICI Prudential Nifty200 Value 30 ETF		

REDEMPTION	No. of Units (in figures) _____ (in words) _____ Amount (in figures) ₹ _____ (in words) _____
SUBSCRIPTION	No. of Units (in figures) _____ (in words) _____ Amount (in figures) ₹ _____ (in words) _____

Cheque / DD No.	Drawn on Bank Name & Branch																		
Cheque / DD Date <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Amount of cheque/ DD in figures (Rs.)										
D	D	M	M	Y	Y	Y	Y												
Bank A/c No. <table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>																			Account Type ☐ Current ☐ Savings ☐ NRO ☐ NRE ☐ FCNR ☐ Others _____ (Please specify)
We hereby confirm having initiated the Transfer / RTGS for transfer of INR _____ from our account no. _____ with _____ (Bank) to your account no. _____ with _____ (Bank).																			

YOUR CONFIRMATION/DECLARATION: I/We have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. I/We hereby declare that I am/we are not US Person(s). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that I/we have not been offered/communicated any indicative portfolio and/or any indicative yield for this investment.

Signature(s)
(To be signed as per Mode of Holding)  First Holder Second Holder Third Holder

**ACKNOWLEDGEMENT SLIP (To be filled by the investor)**

Name of the Investor:
Scheme Name:
Folio No. ☐ Purchase ☐ Redemption | **Cash** Date:
Cheque No. Cheque Date. Amount Rs. or Units

CREATION UNITS & DP DETAILS

• ICICI Prudential S&P BSE 500 ETF - 11,00,000 units • ICICI Prudential Nifty 50 ETF - 50,000 units • ICICI Prudential Nifty 100 ETF - 5,00,000 units • ICICI Prudential S&P BSE Sensex ETF - 10,000 units • ICICI Prudential Nifty 50 Value 20 ETF - 1,25,000 units • ICICI Prudential S&P BSE Midcap Select ETF - 2,50,000 units • ICICI Prudential Nifty 100 Low Volatility 30 ETF - 3,00,000 units • BHARAT 22 ETF - 4,300 units • ICICI Prudential Nifty Next 50 ETF - 100,000 units • ICICI Prudential S&P BSE Liquid Rate ETF - 100 units • ICICI Prudential Nifty Bank ETF - 50,000 units • ICICI Prudential Nifty Private Banks ETF - 75,000 units • ICICI Prudential Nifty Midcap 150 ETF - 3,50,000 units • ICICI Prudential Gold ETF - 1,10,000 units • ICICI Prudential Nifty Alpha Low - Volatility 30 ETF - 1,80,000 units • ICICI Prudential Nifty IT ETF - 100,000 units • ICICI Prudential Nifty Healthcare ETF - 50,000 units • ICICI Prudential Nifty FMCG ETF - 80,000 units • ICICI Prudential Nifty India Consumption ETF - 90,000 units • ICICI Prudential Nifty Auto ETF - 2,30,000 units • ICICI Prudential Silver ETF - 30,000 units • ICICI Prudential Nifty 5 yr Benchmark G-SEC ETF - 10,000 units • ICICI Prudential Nifty 200 Momentum 30 ETF - 2,70,000 units • ICICI Prudential Nifty Infrastructure ETF - 1,65,000 units • ICICI Prudential Nifty Financial Services Ex-Bank ETF - 4,00,000 units • ICICI Prudential Nifty 10 yr Benchmark G-SEC ETF - 5,000 units • ICICI Prudential Nifty Commodities ETF - 1,00,000 units • ICICI Prudential Nifty PSU Bank ETF - 40,000 units • ICICI Prudential Nifty 200 Quality 30 ETF - 3,70,000 units • ICICI Prudential Nifty Oil & Gas ETF - 2,70,000 units • ICICI Prudential Nifty Metal ETF - 3,90,000 units • ICICI Prudential Nifty200 Value 30 ETF - 3,50,000 units

For Redemption : ETF units to be transferred into the following DP account of respective scheme			
DP Name : HDFC Bank DP ID : 13012400 Client ID : 00075131 CLIENT NAME : Prudential ICICI Trust Ltd - ICICI Prudential S&P BSE Sensex ETF Units	DP Name : HDFC Bank DP ID : IN300126 Client ID : 11249066 CLIENT NAME : ICICI Prudential Nifty 50 ETF - Units	DP Name : HDFC Bank DP ID : IN300126 Client ID : 11249857 CLIENT NAME : ICICI Prudential Nifty 100 ETF - Units	DP Name : HDFC Bank DP ID : IN300126 Client ID : 11261994 CLIENT NAME : ICICI Prudential Nifty 50 Value 20 ETF - Units
DP Name : HDFC Bank DP ID : IN300126 Client ID : 11262036 CLIENT NAME : ICICI Prudential S&P BSE Midcap Select ETF - Units Account	DP Name : HDFC Bank DP ID : IN300126 Client ID : 11265704 CLIENT NAME : ICICI Prudential Nifty 100 Low Volatility 30 ETF - Units	DP Name : SBI-SG Global Securities Services Pvt. Ltd. DP ID : IN303786 Client ID : 10005104 CLIENT NAME : BHARAT 22 ETF - UNITS	DP Name : SBI-SG Global Securities Services Pvt. Ltd. DP ID : IN303786 Client ID : 10005643 CLIENT NAME : ICICI PRUDENTIAL S&P BSE 500 ETF - Units
DP Name : HDFC Bank DP ID : IN300126 Client ID : 11269940 CLIENT NAME : ICICI Prudential NIFTY NEXT 50 ETF - UNITS	DP Name : HDFC Bank DP ID : IN300126 Client ID : 11270270 CLIENT NAME : ICICI Prudential S&P BSE Liquid Rate ETF - UNITS	DP Name : SBI-SG GLOBAL SECURITIES SERVICES PVT LTD DP ID : IN303786 Client ID : 10007135 CLIENT NAME : ICICI Prudential Nifty Bank ETF - UNITS	DP Name : SBI-SG GLOBAL SECURITIES SERVICES PVT LTD DP ID : IN303786 Client ID : 10007160 CLIENT NAME : ICICI Prudential Nifty Private Banks ETF - UNITS
DP Name : HDFC Bank DP ID : IN300126 Client ID : 11279935 CLIENT NAME : ICICI Prudential Nifty Midcap 150 ETF - UNITS	DP Name : HDFC Bank DP ID : IN300126 Client ID : 11236984 CLIENT NAME : ICICI Prudential Gold ETF - UNITS	DP Name : HDFC Bank DP ID : IN300126 Client ID : 11282264 CLIENT NAME : ICICI Prudential Nifty Alpha Low - Volatility 30 ETF - UNITS	DP Name : HDFC Bank DP ID : IN300126 Client ID : 11282457 CLIENT NAME : ICICI Prudential Nifty IT ETF - UNITS
DP Name : SBI-SG GLOBAL SECURITIES SERVICES PVT LTD DP ID : IN303786 Client ID : 10008718 CLIENT NAME : ICICI Prudential Nifty Healthcare ETF - UNITS	DP Name : SBI-SG GLOBAL SECURITIES SERVICES PVT LTD DP ID : IN303786 Client ID : 10009028 CLIENT NAME : ICICI Prudential Nifty FMCG ETF - UNITS	DP Name : HDFC BANK DP ID : IN300126 Client ID : 11290272 CLIENT NAME : ICICI Prudential Nifty Consumption ETF - UNITS	DP Name : THE HONGKONG AND SHANGHAI BANKING CORP. LTD DP ID : IN300142 Client ID : 10783401 CLIENT NAME : ICICI Prudential Nifty Auto ETF - UNITS
DP Name : HDFC BANK LTD DP ID : IN300126 Client ID : 11292522 CLIENT NAME : ICICI Prudential Silver ETF - UNITS	DP Name : THE HONGKONG AND SHANGHAI BANKING CORP. LTD DP ID : IN300142 Client ID : 10784453 CLIENT NAME : ICICI Prudential Nifty 5 yr Benchmark G-SEC ETF - UNITS	DP Name : SBISG DP ID : IN303786 Client ID : 10010083 CLIENT NAME : ICICI Prudential Nifty 200 Momentum 30 ETF - UNITS	DP Name : HDFC BANK LTD DP ID : IN300126 Client ID : 11298066 CLIENT NAME : ICICI Prudential Nifty Infrastructure ETF - UNITS
DP Name : SBISG DP ID : IN303786 Client ID : 10010392 CLIENT NAME : ICICI Prudential Nifty Financial Services Ex-Bank ETF - UNITS	DP Name : THE HONGKONG AND SHANGHAI BANKING CORP. LTD DP ID : IN300142 Client ID : 10792010 CLIENT NAME : ICICI Prudential Nifty 10 yr Benchmark G-SEC ETF - UNITS	DP Name : SBI-SG GLOBAL SECURITIES SERVICES PVT LTD DP ID : IN303786 Client ID : 10010489 CLIENT NAME : ICICI PRUDENTIAL NIFTY COMMODITIES ETF - UNITS	
DP Name : HDFC BANK LTD DP ID : IN300126 Client ID : 11302406 CLIENT NAME : ICICI Prudential Nifty PSU Bank ETF - UNITS	DP Name : HDFC BANK LTD DP ID : IN300126 Client ID : 11305963 CLIENT NAME : ICICI Prudential Nifty 200 Quality 30 ETF - UNITS	DP Name : SBI-SG GLOBAL SECURITIES SERVICES PVT LTD DP ID : IN303786 Client ID : 10012683 CLIENT NAME : ICICI Prudential Nifty Oil & Gas ETF - UNITS	DP Name : HDFC BANK LTD DP ID : IN300126 Client ID : 11318285 CLIENT NAME : ICICI Prudential Nifty Metal ETF - UNITS
DP Name : HDFC BANK LTD DP ID : IN300126 Client ID : 11320384 CLIENT NAME : ICICI Prudential Nifty200 Value 30 ETF - UNITS			

Instructions:

- As per the RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems" vide notification RBI/2020-21/82 DPSS.CO.OD No.901/06.24.001/2020-21 dated 5th January 2021. RBI vide this notification has decided to introduce the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

From April 1, 2021, 20-digit Legal Entity Identifier (LEI) information is included while initiating any transaction of value INR 50 crore and above by entities (non-Individual).